

**FAIR AND REASONABLE COST ANALYSIS and SOLE SOURCE JUSTIFICATION:  
SUBAWARDS UNDER CONTRACTS**

For contract subawards only, this form must be completed by the UC Berkeley Principal Investigator. When proposing a subaward for a sponsored research project to be funded under a **contract**, UC policy requires that a cost/price analysis and sole source justification be performed. Please comply with this requirement by completing the following and submitting it along with documentation to the UCB Sponsored Projects Office at proposal stage.

**Background/Purpose**

Proposed subrecipient: \_\_\_\_\_

Project title: \_\_\_\_\_

Research sponsored by (i.e., federal agency): \_\_\_\_\_

UCB PI is: \_\_\_\_\_

SPO Number (if known): \_\_\_\_\_

Proposed subaward total amount: \$ \_\_\_\_\_

Subaward period of performance: From: \_\_\_\_\_ To: \_\_\_\_\_

**Acceptance of Costs: Cost/Price Reasonableness**

All costs proposed by subrecipient under this subaward were reviewed and approved by the UCB PI as evidenced by the Cost/Price Reasonableness Checklist below.

If the listed item of cost is included in the subrecipient's budget, the UCB PI must complete the cost/price verification and/or analysis. Please check the appropriate responses. **If not included with the proposal, please attach documentation to show how these responses were derived for each applicable area. If the response is "Yes," please collect and attach the independent documentation. If the response is "No," please prepare and attach a cost/price analysis.**

**1. Personnel (Salary/Wage Rates):** *Are the rates generally in line with standard rates for this industry?*

- Yes
- No - Salaries and level of effort have been reviewed and appear reasonable for the proposed scope of work. (Attach documentation from subrecipient detailing the basis for salary/wage costs.)
- No salaries

**2. Standard Equipment and Supply Items** (Equipment and supplies must be separately itemized.): *Are these items based on standard or catalog prices?*

- Yes
- No - The costs have been reviewed and appear reasonable and necessary for the proposed scope of work. (Attach documentation from subrecipient detailing the basis for these costs.)
- No equipment or supplies

**3a. Travel:** *Is the travel necessary?*

- Yes
- No
- No travel

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**3b. Travel:** *Are the costs considered reasonable in comparison to published air fares, hotel rates, and per diem rates?*

- Yes
- No - The costs have been reviewed and appear reasonable and necessary for the proposed scope of work. (Attach documentation from subrecipient detailing the basis for these costs.)
- No travel

**4. Other Costs** (All costs must be separately itemized.): *Are the costs generally in line with standard rates for this industry?*

- Yes
- No - All other costs, including per patient costs, consultant costs, and when applicable (i.e., when subcontractor is a for-profit entity), profits or fees, have been reviewed and appear reasonable for the proposed scope of work. (Attach documentation from subrecipient detailing the basis for these costs.)
- No other costs

**Sole Source Justification**

This subrecipient is unique because:

The reasons (other than cost) that this subrecipient was selected over others are:

**Principal Investigator Verification**

By signing below, I verify that I have reviewed all costs proposed by the Subrecipient under this Subaward and found them to be allowable, allocable, and reasonable for the proposed Statement of Work.

Principal Investigator certifies that he/she  does /  does not have a financial interest of any kind in the proposed subrecipient. If the Principal Investigator does have a financial interest, a Statement of Economic Interest form (Form 700-U) must be completed.

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Printed Name)

\_\_\_\_\_  
(Date)