

**COMPLIANCE INFORMATION FORM for RESEARCH GIFTS**

This form is to be filled out along with the Statement of Economic Interests for Principal Investigators (Form 700-U) for all gifts to individuals who conduct research on the UC Berkeley campus. It must be filed even if there are no compliance activities associated with the gift funding. For instructions please see <http://researchcoi.berkeley.edu>.

The Compliance Information Form is to be included with 700-U information that is sent to the Conflict of Interest Coordinator, Research Administration and Compliance Office, 2150 Shattuck Avenue, Suite 313, Mail Code 5940. COI/RAC will forward this information to the appropriate oversight committee(s) for assistance in helping Principal Investigators obtain any approvals required by laws, regulations, or policies.

Name of Principal Investigator: \_\_\_\_\_

Department/ORU: \_\_\_\_\_

Sponsor: \_\_\_\_\_

Please check off all categories below that apply to research, including off-campus activities, that will be supported by these funds.

Human subjects: Contact the Committee for Protection of Human Subjects (642-7461, [ophs@berkeley.edu](mailto:ophs@berkeley.edu)) *before* initiating human subjects research (defined as publishable research about living people using interviews, surveys, tests, questionnaires, certain electronic data, invasive procedures, human biological specimens, or psychological or physiological experiments): see <http://cphs.berkeley.edu/>.

CPHS Protocol submitted? No  Yes  Protocol #: \_\_\_\_\_ Date: \_\_\_\_\_

Vertebrate animals, including such off-campus activities as contracting for research services to be performed, or purchasing custom antibodies or other animal materials from outside vendors.

If yes, contact the Animal Care and Use Committee (ACUC) before initiating activity (642-8855, [acuc@berkeley.edu](mailto:acuc@berkeley.edu), <http://www.acuc.berkeley.edu>)

Radioactive Materials, Radiation-producing machines, lasers, or large/intense source of non-iodizing radiation

If yes, contact the Radiation Safety Committee (RSC) or the Non-ionizing Radiation Safety Committee (NIRSC) before initiating activity (643-8414, <http://radsafe.berkeley.edu>)

Biohazards: (a) microorganisms classified as Biosafety Level 2, 3, or 4 by the Centers for Disease Control; (b) recombinant DNA or transgenic material in microorganisms, whole plants, or whole animals described in the NIH Guidelines for Research Involving Recombinant DNA Molecules; (c) human blood, body fluids, or unfixed tissue.

If yes, contact the Committee on Laboratory and Environmental Biosafety before initiating activity (642-3073, <http://www.ehs.berkeley.edu>)

**None of the above apply**

Principal Investigator Signature: \_\_\_\_\_

\_\_\_\_\_  
Name

\_\_\_\_\_  
Date